



DNP Application Note AN2022-001

DNP3 Device Profile

Guide to completion and understanding.

Table of Contents

1	INTRODUCTION:	11
1.1	Device Identification	12
1.1.1	Device function	13
1.1.2	Vendor Name	13
1.1.3	Device Name	14
1.1.4	Hardware version	14
1.1.5	Software version	15
1.1.6	Document Version number	15
1.1.7	DNP Levels supported	16
1.1.8	Supported function blocks	17
1.1.9	Notable additions	18
1.1.10	Methods to set configurable parameters	19
1.1.11	DNP3 XML file available on-line	20
1.1.12	DNP3 XML files available off-line	21
1.1.13	Connections supported	21
1.1.14	Conformance Testing	22
1.2	Serial connection capabilities	22
1.2.1	Port name	22
1.2.2	Serial Connection Parameters	23
1.2.3	Baud rate	23
1.2.4	Hardware Flow Control	24
1.2.5	Link Status Interval	25
1.2.6	DNP3 Collision Avoidance (refer IEEE 1815-2012 subclause 9.2.10)	26
1.2.7	Receiver inter-character timeout	27
1.2.8	Inter-character gaps in transmission	28
1.3	IP Networking (refer IEEE 1815-2012, Clause 13)	28
1.3.1	Port Name	28
1.3.2	Type of End Point	29
1.3.3	IP Address (IPv4)	29
1.3.4	Subnet mask (IPv4)	30
1.3.5	Gateway IP Address (IPv4)	30
1.3.6	Accepts TCP Connections or UDP Datagrams from:	30
1.3.7	IP Addresses from which TCP Connections or UDP Datagrams are accepted.	31

1.3.8	TCP Listen Port Number	31
1.3.9	TCP Listen Port Number of Remote Device	32
1.3.10	TCP Keep-alive timer	32
1.3.11	Local UDP Port	33
1.3.12	Destination UDP port for DNP3 Requests	33
1.3.13	Destination UDP port for initial unsolicited	34
1.3.14	Destination UDP port for responses (UDP only outstations)	34
1.3.15	Multiple outstation connections (Masters only).....	35
1.3.16	Multiple master connections (Outstations only)	35
1.3.17	Time synchronisation support (Refer IEEE 1815-2012 Clause 10.3)	36
1.3.18	IP Address (IPv6)	36
1.4	Link Layer (Refer IEEE 1815-2012 Clause 9)	37
1.4.1	Data Link Address	37
1.4.2	DNP3 Source Address Validation.....	38
1.4.3	DNP3 Source Addresses	39
1.4.4	Self Address support.....	39
1.4.5	Sends Confirmed User Data Frames. (Refer IEEE 1815-2012 Clause 10.2.2.3)	40
1.4.6	Data Link Layer Confirmation Timeout.....	40
1.4.7	Maximum Data Link retries.	41
1.4.8	Maximum number of octets Transmitted in a Data Link Frame	41
1.4.9	Maximum number of octets that can be Received in a Data Link Frame	42
1.5	Application Layer Refer IEEE 1815-2012, Clauses 4, 5 and 6)	42
1.5.1	Maximum number of octets Transmitted in an Application Layer Fragment other than File Transfer	43
1.5.2	Maximum number of octets Transmitted in an Application Layer Fragment Including File Transfer	44
1.5.3	Maximum number of octets that can be received in an Application Layer Fragment	45
1.5.4	Timeout waiting for a Complete Application Layer Fragment	45
1.5.5	Maximum number of objects allowed in a single control request for a CROB	46
1.5.6	Maximum number of objects allowed in a single control request for Analog Outputs	46
1.5.7	Maximum number of objects allowed in a single control request for Data Sets	47
1.5.8	Supports mixed object groups (AOBs, CROBs and Data Sets) in the same control request.....	47
1.5.9	Control Status Codes Supported (refer 1815-2012 §11.7.1 and TB2014-002).....	48
1.6	Fill Out the following items for masters only.	48
1.6.1	Timeout waiting for Complete Application Layer Responses (refer 1815-2012 §4.3)	49
1.6.2	Maximum Application Layer Retries for Request Message (refer 1815-2012 §4.3 rule 16)	49
1.6.3	Timeout waiting for First or Next Fragment of an Application Layer Response.	50
1.6.4	Issuing controls to off-line devices	50

1.6.5	Issuing controls to off-scan devices.....	51
1.6.6	Maximum Application Layer Retries for Control Select Messages (same sequence number) (refer 1815-2012 table 4-9)	51
1.6.7	Maximum Application Layer Retries for Control Select Messages (new sequence number) (refer 1815-2012 table 4-9).....	52
1.7	Fill out the following items for outstations only	52
1.7.1	Timeout waiting for Application Confirm of solicited response message	52
1.7.2	How often is time synchronisation required from the master.....	53
1.7.3	Device Trouble Bit IIN1.6 (refer 1815-2012 §4.6.7)	53
1.7.4	File Handle Timeout (refer 1815-2012 §A27).....	54
1.7.5	Event Buffer Overflow Behavior	54
1.7.6	Event Buffer Organisation	55
1.7.7	Sends Multi-Fragment Responses.....	56
1.7.8	Last Fragment Confirmation.....	56
1.7.9	DNP Command Settings preserved through a device restart.....	57
1.7.10	Supports configuration signature	57
1.7.11	Requests Application Confirmation	58
1.7.12	Supports Clock Management	59
1.8	Outstation Unsolicited Response Support (refer IEEE 1815-2012 Section 4.6).....	59
1.8.1	Supports Unsolicited Reporting.....	60
1.8.2	Master Data link Address	60
1.8.3	Unsolicited Response Confirmation Timeout.....	61
1.8.4	Number of Unsolicited Retries	61
1.9	Outstation Unsolicited Response Trigger Conditions (refer to IEEE1815-2012 Section 4.6.2.2)	62
1.10	Outstation Performance (refer IEEE 1815-2012 Clause 10.3).....	65
1.10.1	Maximum time Base Drift	66
1.10.2	When does the outstation set IIN1.4	67
1.10.3	Maximum Internal Time Reference Error when set via DNP.	67
1.10.4	Maximum Delay Measurement Error	68
1.10.5	Maximum Response time	68
1.10.6	Maximum time from startup to IIN 1.4 assertion	69
1.10.7	Maximum Event Time-tag error for local Binary and Double-bit I/O.....	69
1.10.8	Maximum Event Time-tag error for local I/O other than Binary and Double-bit data types.....	70
1.11	Individual Field Outstation Parameters.....	70
1.11.1	User-assigned location name or code string.....	70
1.11.2	User-assigned ID Code/number string.....	71

1.11.3	User-assigned name string for the outstation	71
1.11.4	Device Serial Number string.....	71
1.11.5	User-assigned secondary operator name	72
1.11.6	User-assigned primary operator name	72
1.11.7	User-assigned system name.....	72
1.11.8	User-assigned owner name.....	73
1.12	Security Parameters (refer to Clause 7 of IEEE1815-2012).....	73
1.12.1	DNP3 device support for secure authentication	74
1.12.2	Maximum number of users.....	74
1.12.3	Security message response timeout	75
1.12.4	Aggressive node of operation (receive)	75
1.12.5	Aggressive mode of operation (issuing).....	76
1.12.6	Session key change interval	76
1.12.7	Session Key change message count	77
1.12.8	Maximum error count (SAv2 only).....	77
1.12.9	MAC Algorithm requested in a challenge exchange	78
1.12.10	Key-wrap algorithm to encrypt session keys	78
1.12.11	Cipher Suites used with DNP implementations using TLS.....	79
1.12.12	Change cipher request timeout	79
1.12.13	Number of Certificate Authorities supported	80
1.12.14	Certificate Revocation check time	80
1.12.15	Additional critical function codes.....	81
1.12.16	Other critical fragments	82
1.12.17	Support for remote update key exchanges.....	83
1.12.18	“Default” user credentials are permitted to expire	84
1.12.19	Secure authentication enabled	84
1.12.20	Length of the challenge data.....	84
1.12.21	Maximum statistics counts (SAv5)	85
1.13	Broadcast functionality (Refer IEEE1815-2012 Section 4.7)	85
1.13.1	Support for broadcast functionality.....	86
1.13.2	Write functions (FC=2) supported with broadcast requests.....	88
1.13.3	Direct operate functions (FC=5) supported with broadcast requests.....	88
1.13.4	Direct operate, no acknowledgement functions (FC=6) supported with broadcast requests.....	89
1.13.5	Immediate freeze functions (FC=7) supported with broadcast requests	89
1.13.6	Immediate freeze, no acknowledgement functions (FD=8).....	89
1.13.7	Freeze and clear functions (FC=9).....	89

1.13.8	Freeze and Clear, No Acknowledgement functions (FC=10)	90
1.13.9	Freeze at time (FC=11)	90
1.13.10	Freeze at time, No Acknowledgement functions (FC=12)	90
1.13.11	Cold restart functions (FC=13)	91
1.13.12	Warm restart functions (FC=14)	91
1.13.13	Initialise data functions (FC=15)	91
1.13.14	Initialise application functions (FC=16)	91
1.13.15	Start application functions (FC=17)	92
1.13.16	Stop application functions (FC=18)	92
1.13.17	Save configuration functions (FC=19)	92
1.13.18	Enable unsolicited functions (FC=20)	93
1.13.19	Disable unsolicited functions (FC=21)	93
1.13.20	Assign class functions (FC=22)	94
1.13.21	Record current time functions (FC=24)	94
1.13.22	Activate configuration (FC=31)	94
2	IEC 61850 OBJECT MAPPINGS	94
3	CAPABILITIES AND CURRENT SETTINGS FOR DEVICE DATABASE (OUTSTATIONS ONLY)	98
3.1	Binary Inputs	98
3.1.1	Static variation reported when variation 0 requested or in response to Class polls	98
3.1.2	Event Variation reported when variation 0 requested or in response to Class polls	99
3.1.3	Event reporting mode	99
3.1.4	Binary inputs included in Class 0 response	100
3.1.5	Binary Inputs Event Buffer Organisation	100
3.2	Double-bit Binary Inputs	100
3.2.1	Static variation reported when variation 0 requested or in response to Class polls	101
3.2.2	Event Variation when variation 0 requested or in response to Class polls	101
3.2.3	3.2.3 Event reporting mode	102
3.2.4	Double-bit Binary inputs included in Class 0 response	102
3.2.5	Double-bit Binary Inputs Event Buffer Organisation	103
3.3	Binary Output Status and Control Relay Output Block (CROB)	103
3.3.1	Minimum pulse time allowed with Trip, Close and Pulse On commands	103
3.3.2	Maximum pulse time allowed with Trip, Close and Pulse On commands	104
3.3.3	Binary Output status included in Class 0 response	104

3.3.4	Reports Output Command Event Objects	105
3.3.5	Static Variation reported when variation 0 requested or in response to Class polls	105
3.3.6	Event Variation reported when variation 0 requested or in response to Class polls	106
3.3.7	Command Event Variation reported when variation 0 requested or in response to Class polls	106
3.3.8	Event Reporting Mode	107
3.3.9	Command Event reporting mode	107
3.3.10	Maximum Time between Select and Operate	108
3.3.11	Binary Output Event Buffer Organization	108
3.3.12	Binary Output Command Event Buffer Organization	109
3.4	Counters/Frozen Counters	109
3.4.1	Static Counter Variation reported when variation 0 requested or in response to Class polls	110
3.4.2	Counter Event Variation reported when variation 0 requested or in response to Class polls:	111
3.4.3	Counters included in Class 0 response:	111
3.4.4	Counter Event reporting mode	112
3.4.5	Static Frozen Counter Variation reported when variation 0 requested or in response to Class polls:	113
3.4.6	Frozen Counter Event Variation reported when variation 0 requested or in response to Class polls:	114
3.4.7	Frozen Counters included in Class 0 response:	114
3.4.8	Frozen Counter Event reporting mode:	115
3.4.9	Counters Roll Over at:	115
3.4.10	Counters frozen by means of:	116
3.4.11	Counters Event Buffer Organization:	116
3.4.12	Frozen Counters Event Buffer Organization:	117
3.4.13	Reports counter events for change of value:	117
3.5	Analog Inputs/Frozen Analog Inputs	117
3.5.1	Static Variation reported when variation 0 requested or in response to Class polls:	118
3.5.2	Event Variation reported when variation 0 requested or in response to Class polls	119
3.5.3	Event reporting mode:	120
3.5.4	Analog Inputs Included in Class 0 response:	120
3.5.5	How Deadbands are set:	121
3.5.6	Analog Deadband Algorithm: (Refer to IEEE 1815-2012 Clause 11.9.1.3).....	121
3.5.7	Static Frozen Analog Input Variation reported when variation 0 requested or in response to Class polls.....	122
3.5.8	Frozen Analog Input Event Variation reported when variation 0 requested or in response to Class polls:	123
3.5.9	Frozen Analog Inputs included in Class 0 response.....	124
3.5.10	Frozen Analog Input Event reporting mode:.....	124
3.5.11	Analog Inputs Event Buffer Organization:.....	125
3.5.12	Frozen Analog Inputs Event Buffer Organization:	125

3.6	Analog Outputs/Analog Output Commands.....	126
3.6.1	Static Analog Output Status Variation reported when variation 0 requested or in response to Class polls.....	126
3.6.2	Analog Outputs /Analog Output Commands.....	127
3.6.3	Reports Output Command Event Objects:	127
3.6.4	Analog Outputs /Analog Output Commands.....	128
3.6.5	Command Event Variation reported when variation 0 requested or in response to Class polls:	129
3.6.6	Event reporting mode:	130
3.6.7	Command Event reporting mode:.....	130
3.6.8	Maximum Time between Select and Operate:.....	131
3.6.9	Analog Outputs Event Buffer Organization:	132
3.6.10	Analog Output Commands Event Buffer Organization:	133
3.7	File Control (refer IEEE1815-2012 Section 5.3)	133
3.7.1	File Transfer Supported	133
3.7.2	File Authentication	134
3.7.3	File Append Mode:	134
3.7.4	Permissions Support:.....	135
3.7.5	Multiple Blocks in a Fragment:.....	135
3.7.6	Max number of Files Open at one time.....	136
3.8	Octet String & Extended Octet String Points	136
3.8.1	Event reporting mode:	136
3.8.2	Octet Strings Included in Class 0 response:.....	137
3.8.3	Octet Strings Event Buffer Organization:	137
3.8.4	Object Group Selection	138
3.9	Virtual Terminal Port Numbers (Points) (Refer to IEEE 1815-2012 Clause 5.2)	139
3.9.1	Virtual Terminals Event Buffer Organization	139
3.10	Data Set Prototype	139
3.11	Data Set Descriptor Contents and Characteristics	140
4	IMPLEMENTATION TABLE	141
5	DATA POINTS LIST (OUTSTATIONS ONLY)	142
5.1	Definition of Binary Input Point List:	143

5.2	Definition of Double-bit Input Point List:	144
5.3	Definition of Binary Output Status/Control relay output block (CROB) Point List:	145
5.4	Definition of Counter/Frozen Counter Point List:	146
5.5	Definition of Analog Input Point List:	147
5.6	Definition of Analog Output Status/Analog Output Block Point List:	148
5.7	Definition of File Names that may be read or written:	149
5.8	Definition of Octet String and Extended Octet String Point List:	150
5.9	Definition of Virtual Terminal Port Numbers:	151
5.10	Definition of Data Set Prototypes:	152
5.11	Definition of Data Set Descriptors:.....	153
5.12	Data Set Descriptor – Point Index Attributes	155

1 Introduction:

Background.

The DNP3 Protocol has many features that make it extremely versatile and suitable for use in applications other than its originally intended electrical utility. The number of features and the many combinations available has meant that it is not easy to describe the implementation to the prospective user. In many cases the features that a device has available are in addition to any claimed subset level. The DNP3 Device Profile lists all the features that the device has implemented and the options available in those features. The Device Profile is useful for the manufacturer to define the functionality of the implementation before commencing the design, and also for the purchaser of the device to study to ensure that the device meets the intended requirements.

A third purpose for which the Device Profile may be used is for the integrator to store the settings that were configured for a specific project or installation.

However, over the years it has become obvious that some aspects of the Device Profile are not clearly defined, and this has resulted in very few Device Profiles being completed or interpreted correctly.

Purpose

The purpose of this document is to describe the various fields in the Device Profile and to give some guidance to the values that should be used to sensibly complete the Device Profile document.

This document DOES NOT provide guidance as to the requirements for conformance to the IEEE1815-2012 specification. The protocol specification should be consulted for guidance on the requirements for conformance.

References

The clauses in IEEE1815-2012 most pertinent to the Device profile are found in Section **14 Interoperability**. It is strongly recommended that this chapter is read before attempting to complete a Device Profile.

Section 1 Device Properties of the Device Profile document gives some valuable information on how the columns should be filled out and should be read and understood before completing the Device Profile.

General notes

The Device Profile tables are divided into four columns. If any of the cells are “greyed” out it means they are not required to be filled in. All the other cells should be filled in, even if it is to say “not applicable” or “N/A”.

Column one

The first column describes the feature to which the next three columns refer.

Capabilities

The second column indicates all the possible options for the feature. None, one, or more of these may be selected. If the device does not support the feature then either the boxes are left unchecked or, if it is one of the options, the Not Applicable box is checked. If it is possible to configure more than one option on the device, then all the options that are possible should be checked.

Current Value

The Current Value shows the configuration of the device. For the Current Value to remain useful, changes to the device configuration must be synchronized with corresponding changes to the Current Value in the device profile.

The current value may be unspecified, i.e. left blank if, for example, the document is being provided by the manufacturer to indicate the capabilities of the device.

Configuration methods

The final column is where the manufacturer describes how the capability is configured if it is a configurable parameter. The possibilities for this column are listed in 1.1.10.

What does “Parse” mean?

Section 4 of the Device Profile describes the Implementation Table for the device. That is, it describes the functional capabilities of the device which comprise those required by the subset level and also those that are additional to the subset level.

The word “Parse” in this table means that the device, master or outstation, shall be able to understand the function and qualifier codes associated with the object group and variation, and shall respond to the message in the appropriate manner. This is covered in more detail in section 4 of this document.

The tables in section 4 can be confusing and are rarely completed correctly. Clause 12 of IEEE1815-2012 give a comprehensive guide to the parsing tables and should be read before they are completed.

1.1 Device Identification

The Device Properties section of the Device Profile is used to describe the properties of the device that are outside the scope of the DNP3 Specification but which are things that:

- Identify the device that is the subject of the Device Profile including the specific version,
- describe features supported by the device that are in addition to those required by the claimed subset level,
- describe how the device is configured